

jc867 U.S. P10

04-02-01

A

Please type a plus sign (+) inside this box →

PTO/SB/05 (11-09)
Approved for use through 10/31/2002 OMB 0651-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	30914/7:1
First Inventor	Uli Behringer
Title	AUDIO CIRCUITRY FOR DYNAMIC . . .
Express Mail Label No.	EL414489559US

370

JJC 997 U.S. PTO
09/823222

03/29/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | Fee Transmittal Form (e.g., PTO/SB/17)
(Shows an image and a signature for fee processing) |
| <input type="checkbox"/> | Applicant claims small entity status
See 37 CFR 1.27. |
| <input checked="" type="checkbox"/> | Specification [Total Pages 10]
<small>Use separate attachment set forth below</small> |
| | - Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (<i>if filed</i>)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure |
| <input type="checkbox"/> | Drawing(s) (35 U.S.C. 113) [Total Sheets 3] |
| <input type="checkbox"/> | Oath or Declaration [Total Pages 3] |
| a. <input checked="" type="checkbox"/> | Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d)) |
| b. <input type="checkbox"/> | (for continuation/divisional) with Box 18 completed) |
| i. <input type="checkbox"/> | DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b) |
| <input checked="" type="checkbox"/> | Application Data Sheet. See 37 CFR 1.76 |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No _____ / _____

Prior application information *Examiner* _____ *Group Ad Unit* _____
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. A separate Oath or Declaration may be filed with respect to this application if it is resubmitted or if it is a continuation or divisional application derived from a previously filed application. No later than the time the present application is filed, the applicant must submit a certificate of穷竭 (exhaustion) of rights from the submitted application, next to the signature of the inventor.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label		3528 [Insert Customer No. or Attorney bar code label here]	or	<input checked="" type="checkbox"/> Correspondence address below
Name	Richard B. Preiss			
	Stoel Rives LLP			
Address	900 SW Fifth Ave., Suite 2600			
City	Portland	State	OR	Zip Code 97204
Country	USA	Telephone	503.224.3380	Fax 503.220.2480
Name (Print/Type)	Richard B. Preiss	Registration No. (Attorney/Agent)	36,640	

Burden Hour Statement: This form is estimated to take 32 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete the form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

Complete if Known

Application Number	
Filing Date	Concurrently herewith
First Named Inventor	Uli Behringer
Examiner Name	
Group Art Unit	
Attorney Docket No	30914/7:1

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	19-4455
Deposit Account Name	Stoel Rives LLP

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES		Fee Description				Fee Paid
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	
105	130	205	65	Surcharge - late filing fee or cash cover sheet		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for ex parte reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		
116	390	216	195	Extension for reply within second month		
117	890	217	445	Extension for reply within third month		
118	1,390	218	695	Extension for reply within fourth month		
126	1,890	228	945	Extension for reply within fifth month		
119	330	219	155	Notice of Appeal		
120	310	220	155	Filing a brief in support of an appeal		
121	270	221	135	Request for oral hearing		
135	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,240	241	620	Petition to revive - unintentional		
142	1,240	242	620	Utility issue fee (or reissue)		
143	440	243	220	Design issue fee		
144	600	244	300	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Petitions related to provisional applications		
126	240	126	240	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
179	710	279	355	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		
Other fee (specify) _____						
* Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$ 0)

*or number previously paid, if greater. For Reissues, see above.

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	RICHARD B. PREISS	Registration No. (Attorney/Agent)	36,640	Telephone 503.224.3380
Signature	<i>Richard B. Preiss</i>	Date	3/29/01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Application No.:

Filed: Concurrently Herewith

Inventor: Uli Behringer and Jan Duwe

Title: **AUDIO CIRCUITRY FOR DYNAMICS REDUCTION**

Attorney Docket No.: 30914/7:1

CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing
label number EL414489559US

Date of Deposit March 29, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE
ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO
THE COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231



Signature

SANDRA LEKAR

Typed or printed name of person signing
certificate

Attachment:

- [X] Utility Patent Application Transmittal, 10 pages of specification and claims,
3 sheets of drawings, Combined Declaration and Power of Attorney for
Patent Application, Patent Application Fee Determination Record, Fee
Transmittal (in duplicate), Data Sheet, check for \$710 in payment of filing
fee, Information Disclosure Statement, PTO-1449 (with reference) and
return receipt postcard.